

FIG. 1

102  
↓

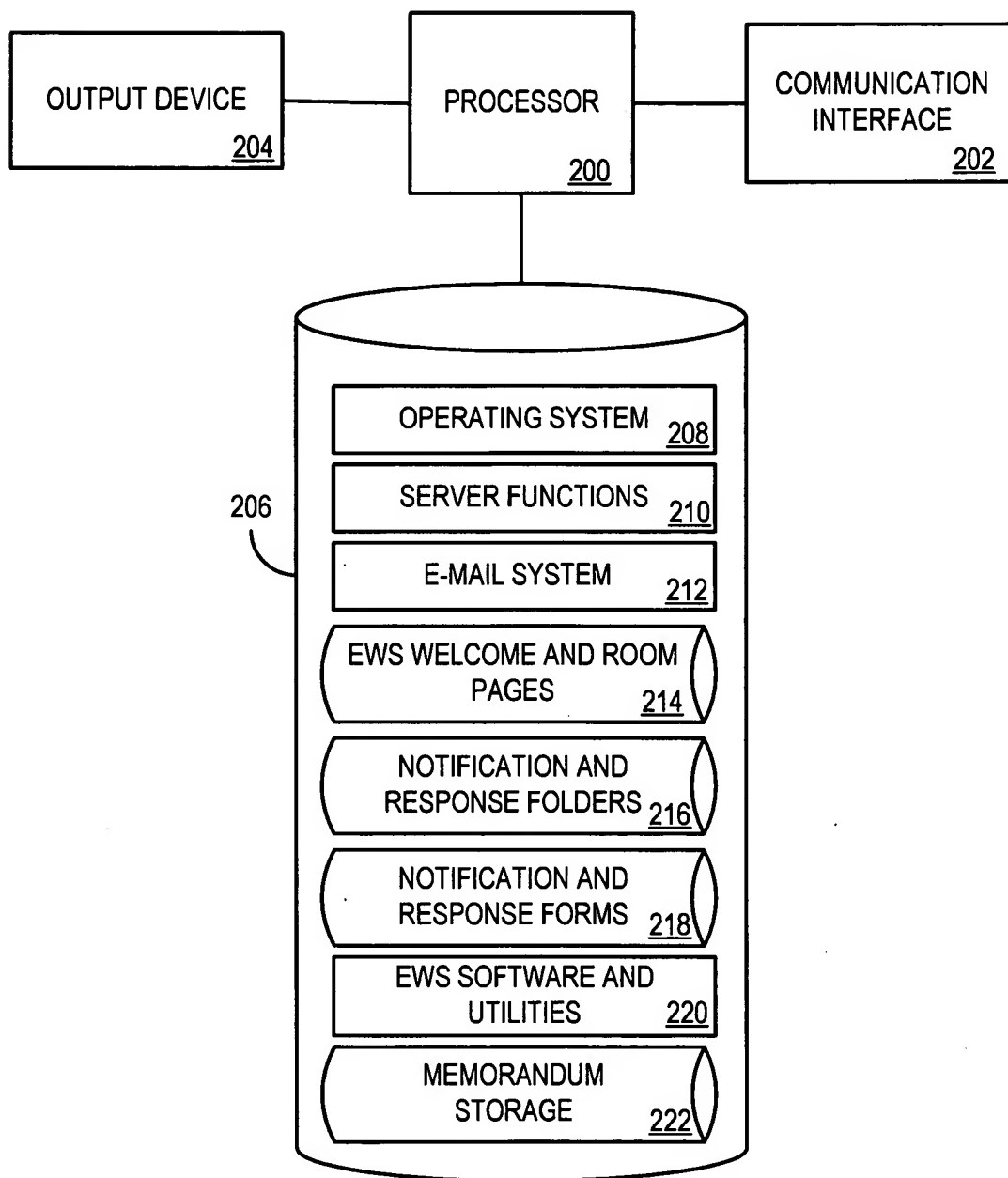


FIG. 2

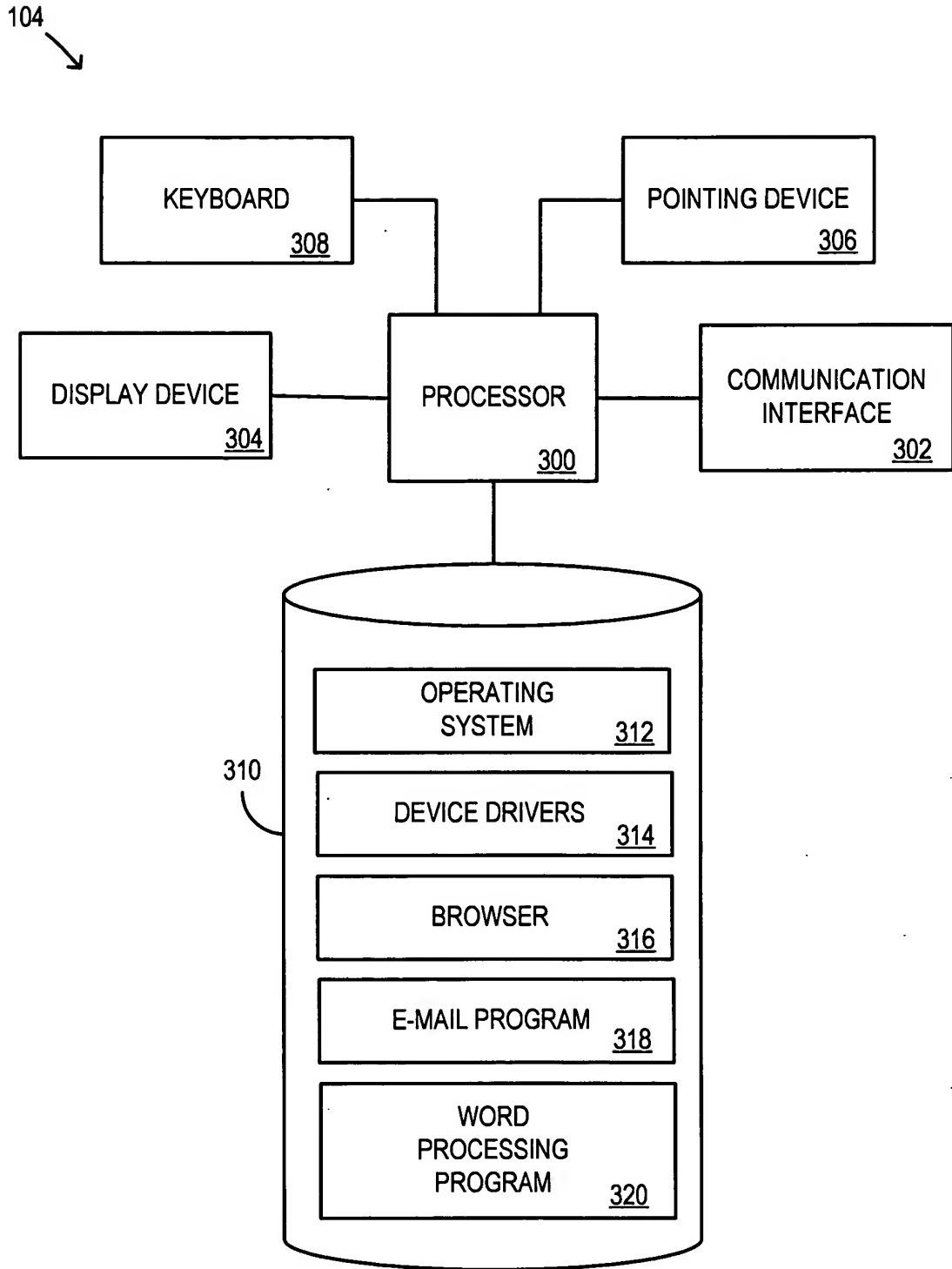


FIG. 3

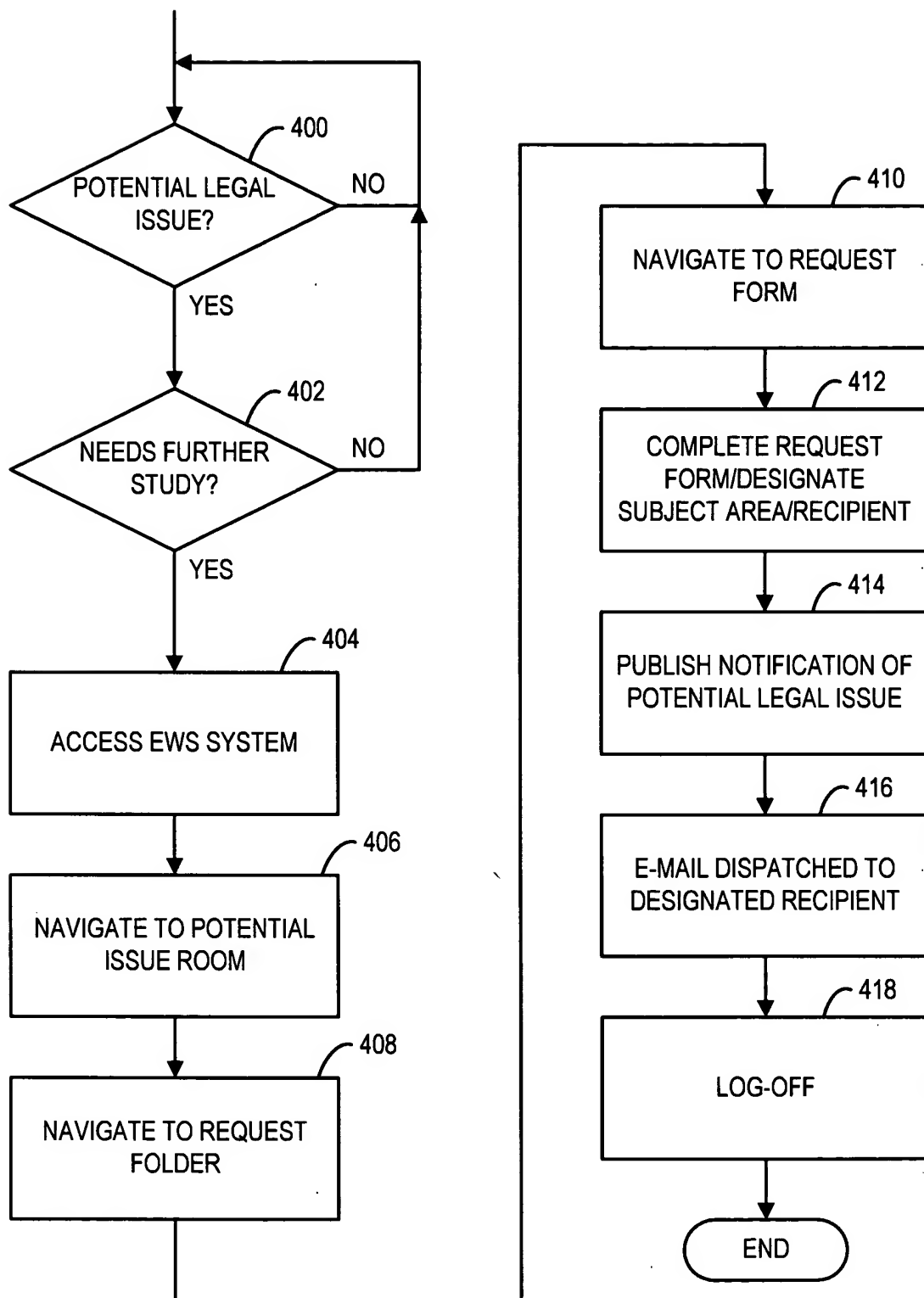


FIG. 4

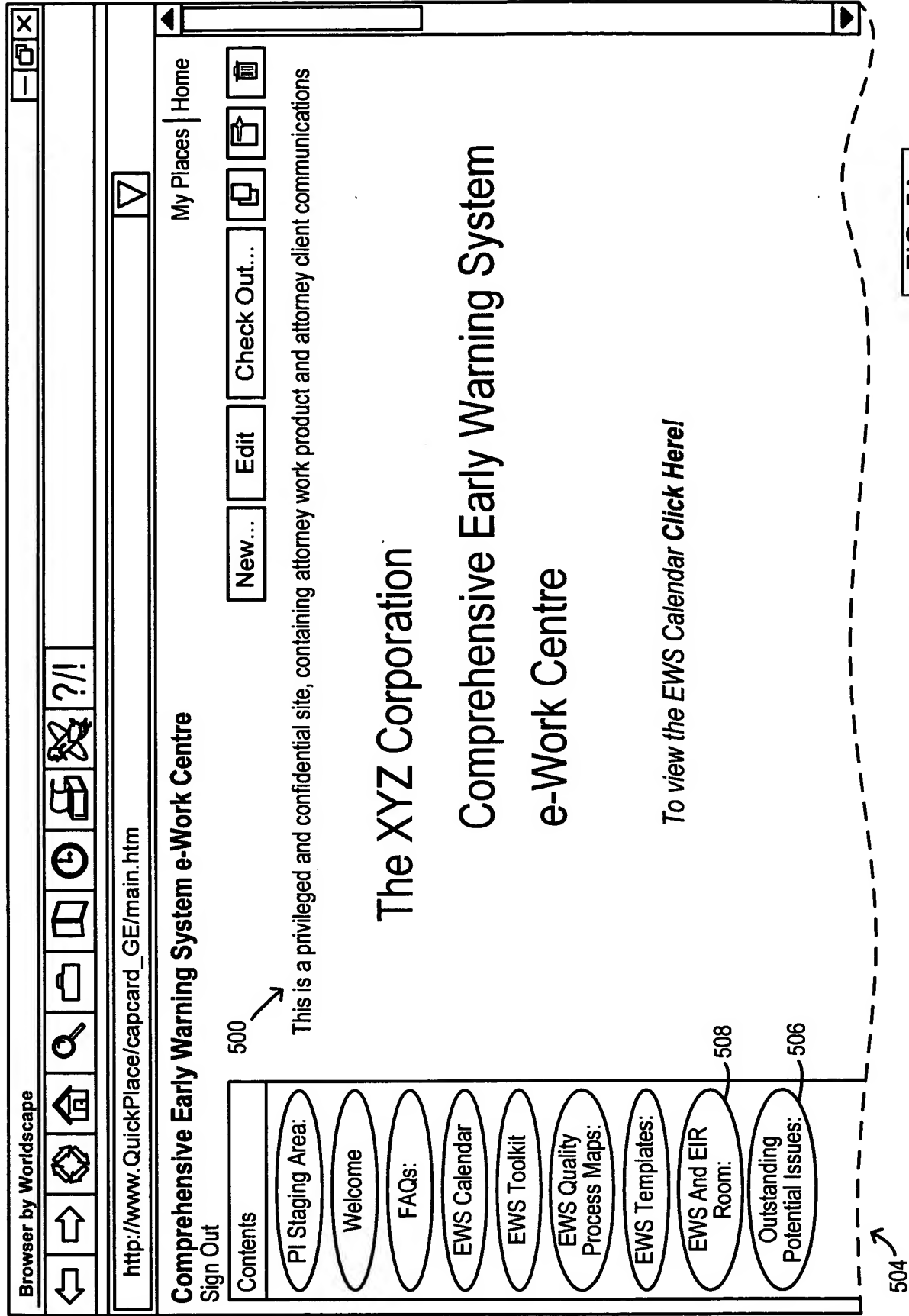


FIG. 5A

FIG. 5:

FIG. 5A

FIG. 5B

504 ↗

EWS Ground Rules

Tasks

Index

Customize

Members

## The EWS: What is it?

The XYZ Corporation Early Warning System ("EWS") implements a pro-active litigation avoidance strategy for XYZ Corporation. The EWS identifies potential risks that are specific to our business in an efficient, user-friendly form that our attorneys can act upon. The potential issues are routed to attorneys who are subject matter experts, through a formalized process, for review. Attorneys determine which matters require early warning reports to business clients, and business practices are modified to mitigate potential exposure.

## In sum, the EWS is:

A **Proactive** Litigation Strategy that:

- Exploits Readily Available Resources.
- Provides Information on Litigation Risks to Business Leaders and In-House Lawyers.
- Through a Formalized Delivery and Follow-Up System that Permits Problematic Business Practices to be Identified Early and Corrected, Before a Lawsuit is Filed.

502 ↗

Tools

Advanced Search

News: Daily | Weekly

Notify | Print | Help

Go to Top

New ... | Edit | Check Out... | Copy | Move | Delete

FIG. 5B

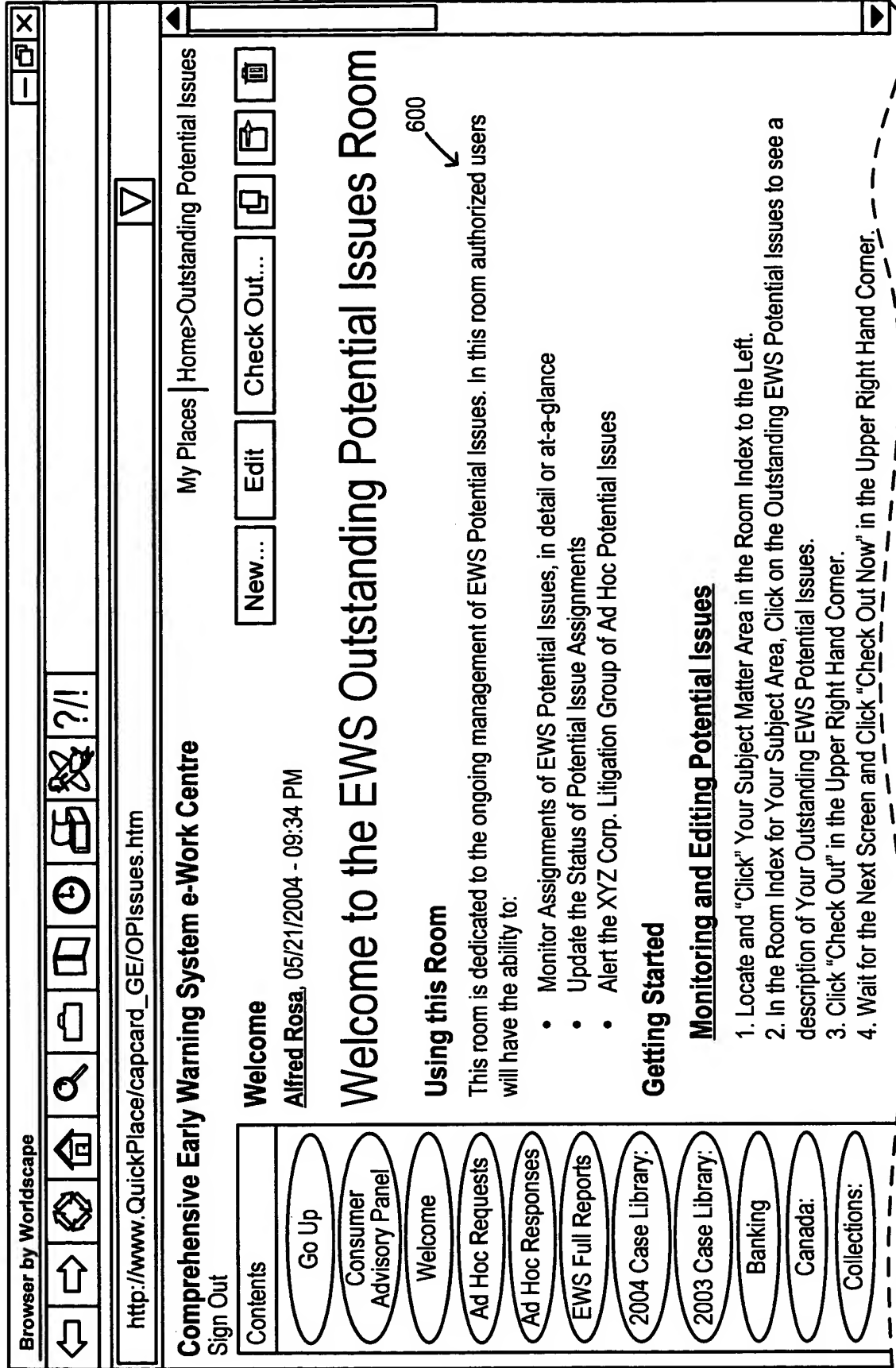


FIG. 6A  
FIG. 6B

FIG. 6A

FIG. 6:

602 →

Commercial Transactions:
Compliance:
CRM, OPS, etc.:
Employment:
General Counsel
Home Finance:
Litigation Group:
Privacy & Security Group:
RSF:
Room Index
Room Options
Room Security

604

5. Click "Edit" in the Upper Right Hand Corner to Make Changes to the Assigned Potential Issues.
6. Double-Click on the Word Icon — Your Potential Issues will Appear in Word.
7. Save Your Changes and Close out of the Document.
8. Click "Publish" in the Lower Right Hand Corner.

### **Send the Litigation Group a Proposed Potential Issue**

1. Select "Ad Hoc Requests Form"
2. Select the New Ad Hoc Request Form button at the top of the page.
3. Complete the Form provided. Add any attachments, as indicated.
4. Press "Publish"
5. An e-mail will be sent to Litigation Group members, notifying them of your Proposed Ad Hoc Issue.

600 →

Tools
<input type="text"/>
Advanced Search
News: Daily   Weekly
Notify   Print   Help

Go to Top

New... | Edit | Check Out... | Copy | Move | Delete

FIG. 6B



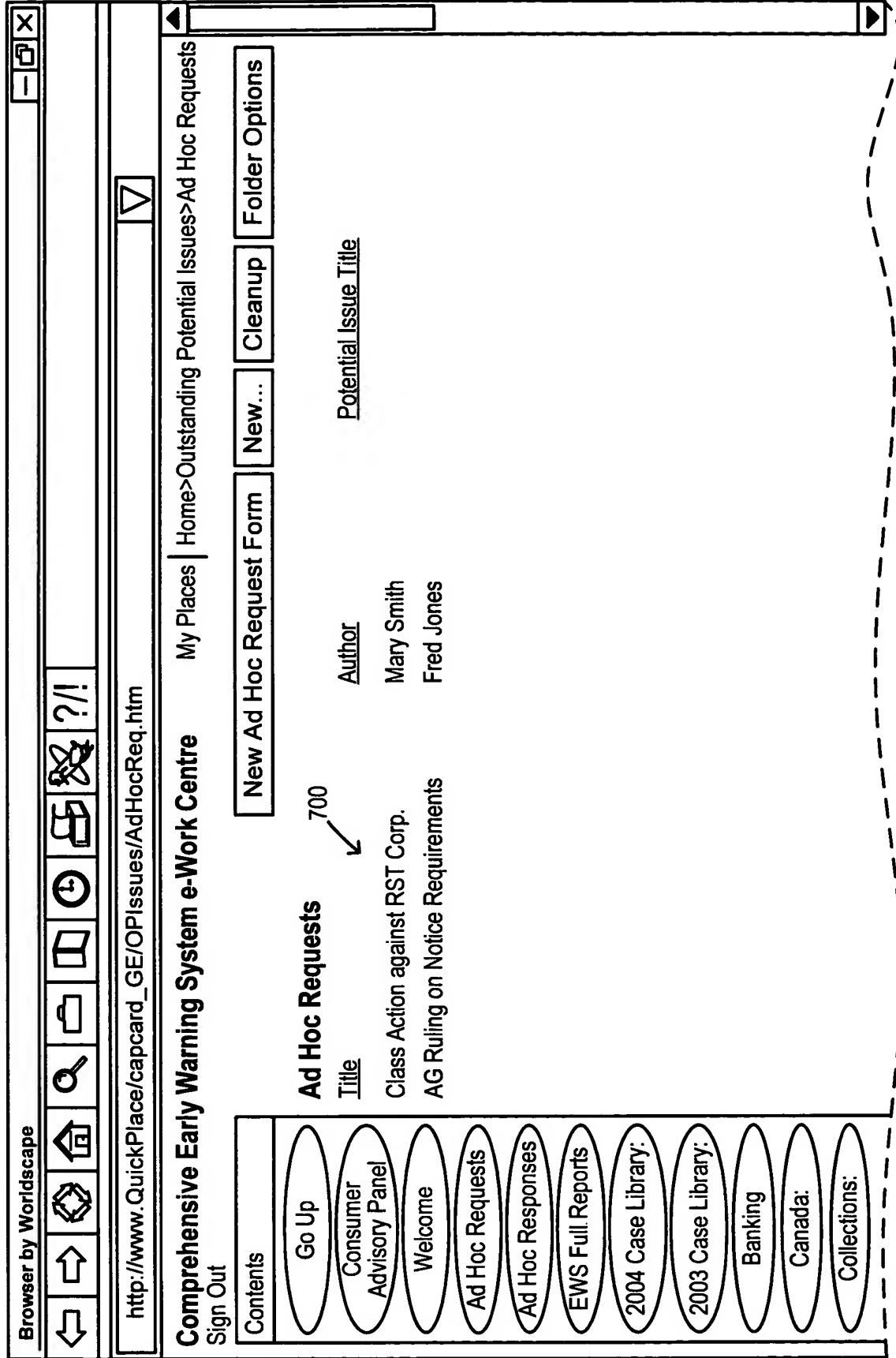


FIG. 7A

FIG. 7:  
FIG. 7B

602 ↗

Commercial Transactions:

Compliance:

CRM, OPS, etc.:

Employment:

General Counsel

Home Finance:

Litigation Group:

Privacy & Security Group:

RSF:

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New... | Edit | Check Out... | Copy | Move | Delete

Next | Last

FIG. 7B

Browser by Worldscape

http://www.QuickPlace/capcard\_GE/OP/Issues\_edit.htm

## Comprehensive Early Warning System e-Work Centre

**Edit Page**      800

Privileged & Confidential Attorney Client Communication  
Attorney Work Product

Cancel | Save As Draft | Publish As... | Publish      Help

Potential Issues Title      802

Executive Summary. Please enter a brief executive summary. Add any attachments below, in Box 3.

804

Attachments. You can attach files by clicking the folder icon or dragging them from your desktop.

806

File Name	Size	Modified

**FIG. 8A**

Fig. 8.

**FIG. 8A**

**FIG. 8B**

Primary Assignment. Please select which group the issue should be primarily assigned to.

Banking

808

Secondary Assignment. Please select a secondary group for issue assignment (if any)

--choose one--

810

Notification. PLEASE NOTE: Bernadette Savage and Eva Willatt should be copied on all notifications to SME(s). Please select their names from the drop down in addition to the assigned SME(s).

Notify Specific Individuals

812

CANCEL

SAVE AS DRAFT

PUBLISH AS...

PUBLISH

814

Go to Top

Not sure what to do next? Click here for helpful hints.

800

FIG. 8B

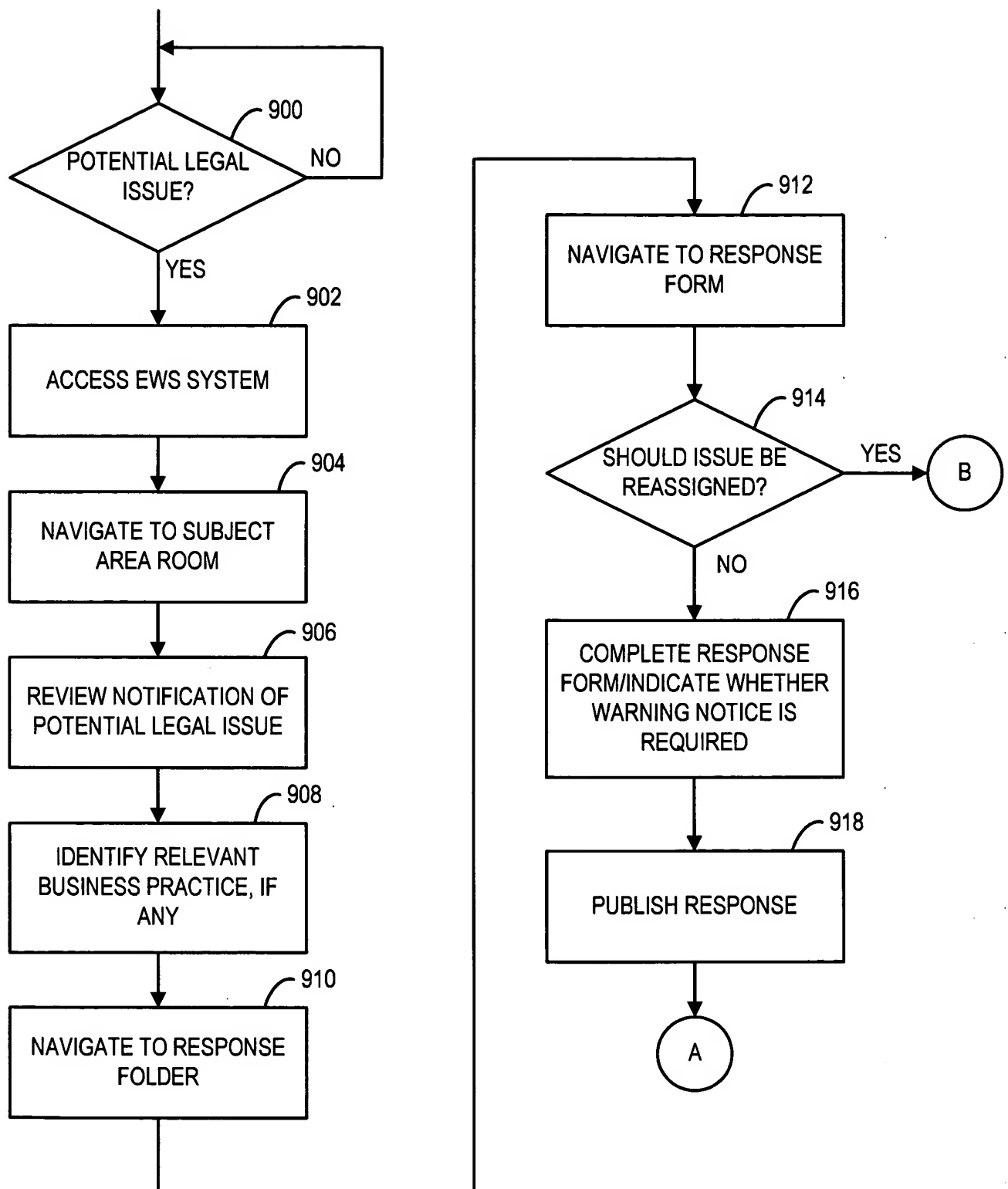


FIG. 9A

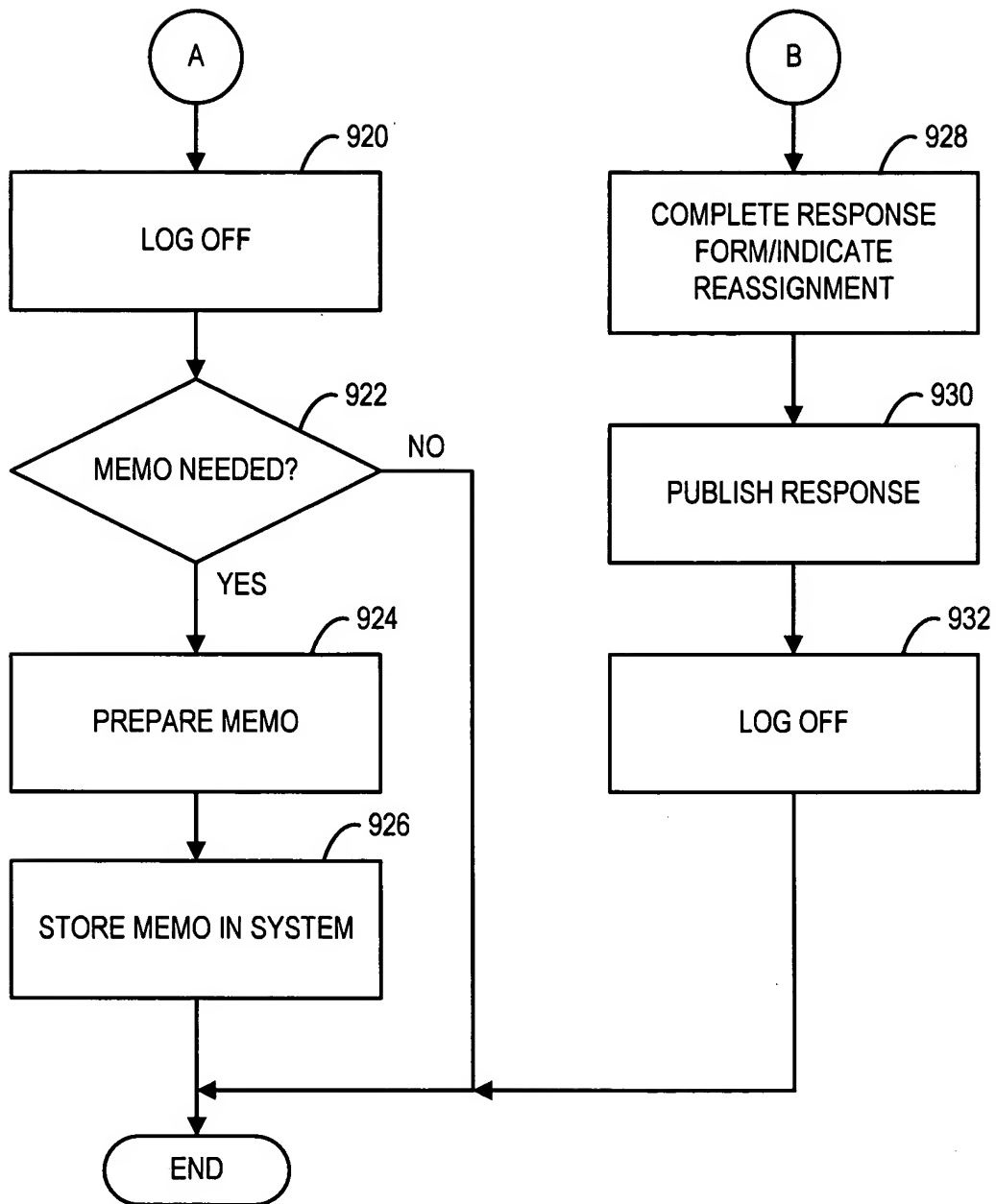


FIG. 9B

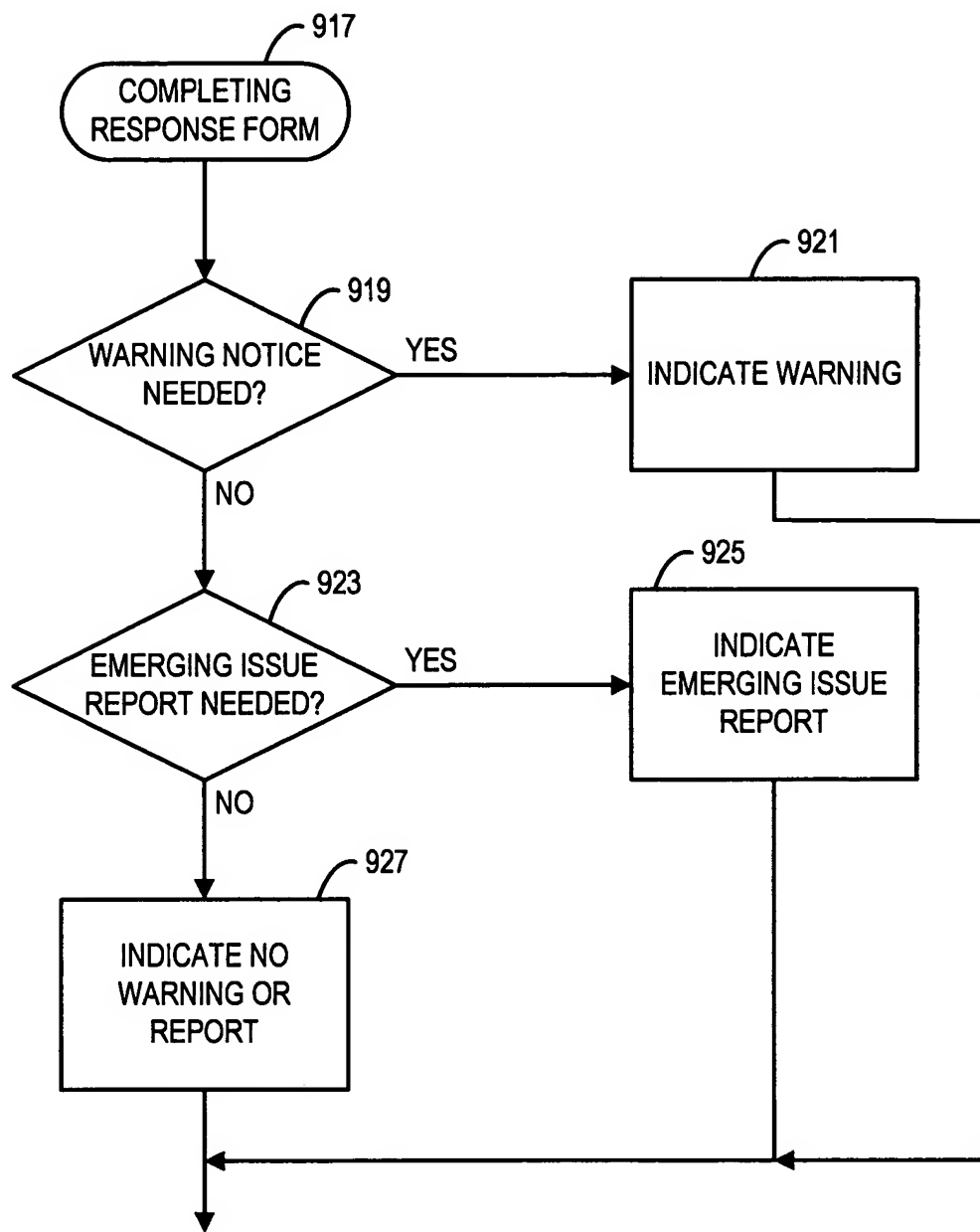


FIG. 9C

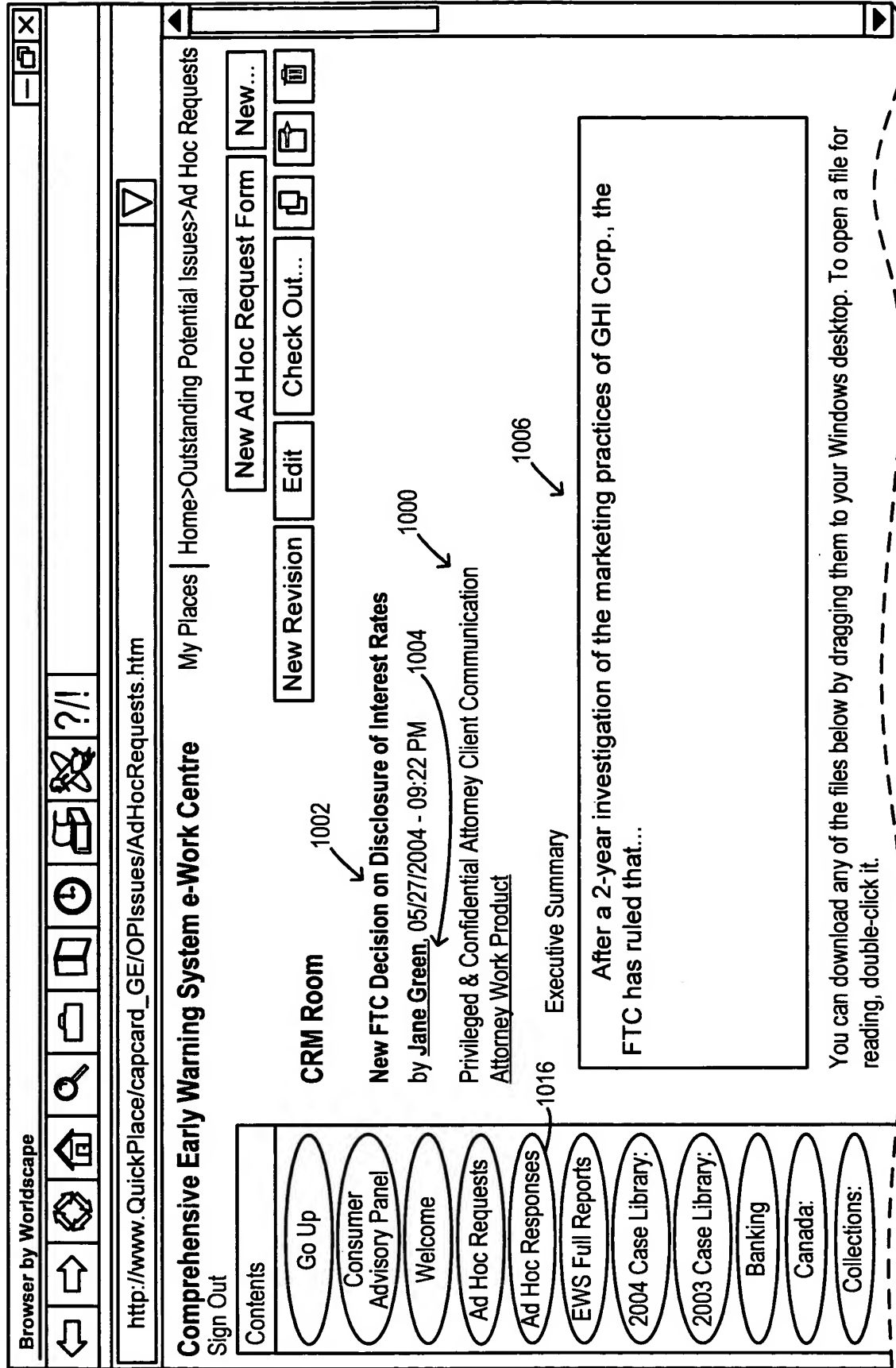


FIG. 10A

FIG. 10B

FIG. 10A



602 ↗

Commercial Transactions:

Compliance:

CRM, OPS, etc.:

Employment:

General Counsel

Home Finance:

Litigation Group:

Privacy & Security Group:

RSF:

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File Name

Size

Modified

📄

Ftc\_ghi\_investigationdecision.pdf

1617KB

05/14/2004 13:25:01

1008 ↗

Primary Assignment

CRM ↗ 1012

Secondary Assignment

Litigation ↗ 1014

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New... | Edit | Check Out... | Copy | Move | Delete

Previous | Folder | Next

1010 ↗

FIG. 10B

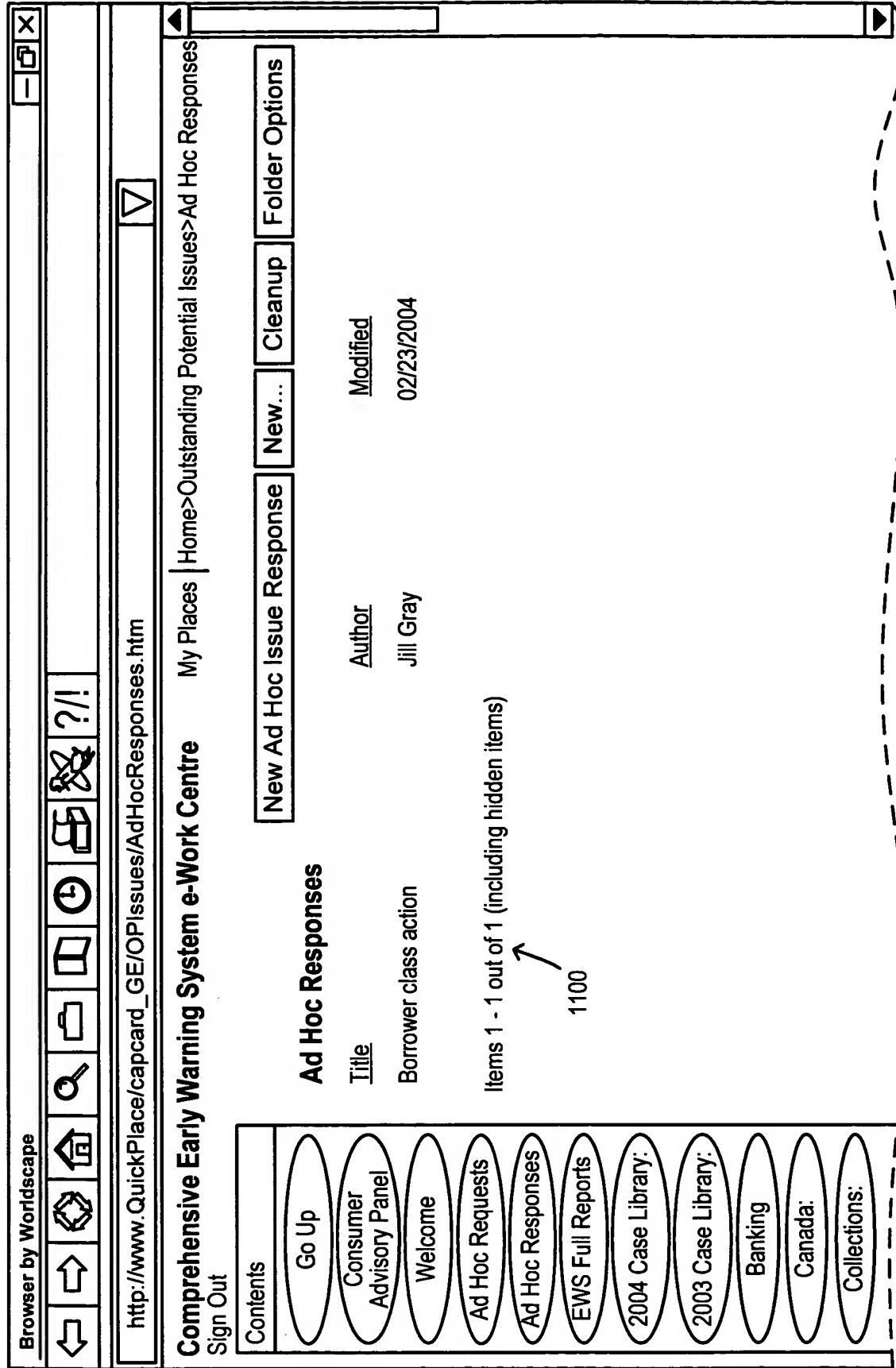


FIG. 11A

FIG. 11B

602 ↗

Commercial Transactions:

Compliance:

CRM, OPS, etc.:

Employment:

General Counsel

Home Finance:

Litigation Group:

Privacy & Security Group:

RSF:

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New Ad Hoc Issue Response | New... | Cleanup | Folder Options

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FIG. 11B

Browser by Worldscape

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http://www.QuickPlace/capcard\_GE/OPIssues\_edit02.htm

Comprehensive Early Warning System e-Work Centre

Edit Page

Cancel | Save As Draft | Publish As... | Publish

Help

Case Name or Title of Article

1200

1202

EWS Needed?

Yes

1204

Indicate Rationale...

1206

Should issue be reassigned? If YES, please reassign and notify appropriate SME(s) and Bernadette Savage and Eva Willatt

No

1208

SME Reassignment Notification.

1212

Notify specific individuals

1210

CANCEL | SAVE AS DRAFT | PUBLISH AS... | PUBLISH

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Not sure what to do next? Click here for helpful hints.

FIG. 12

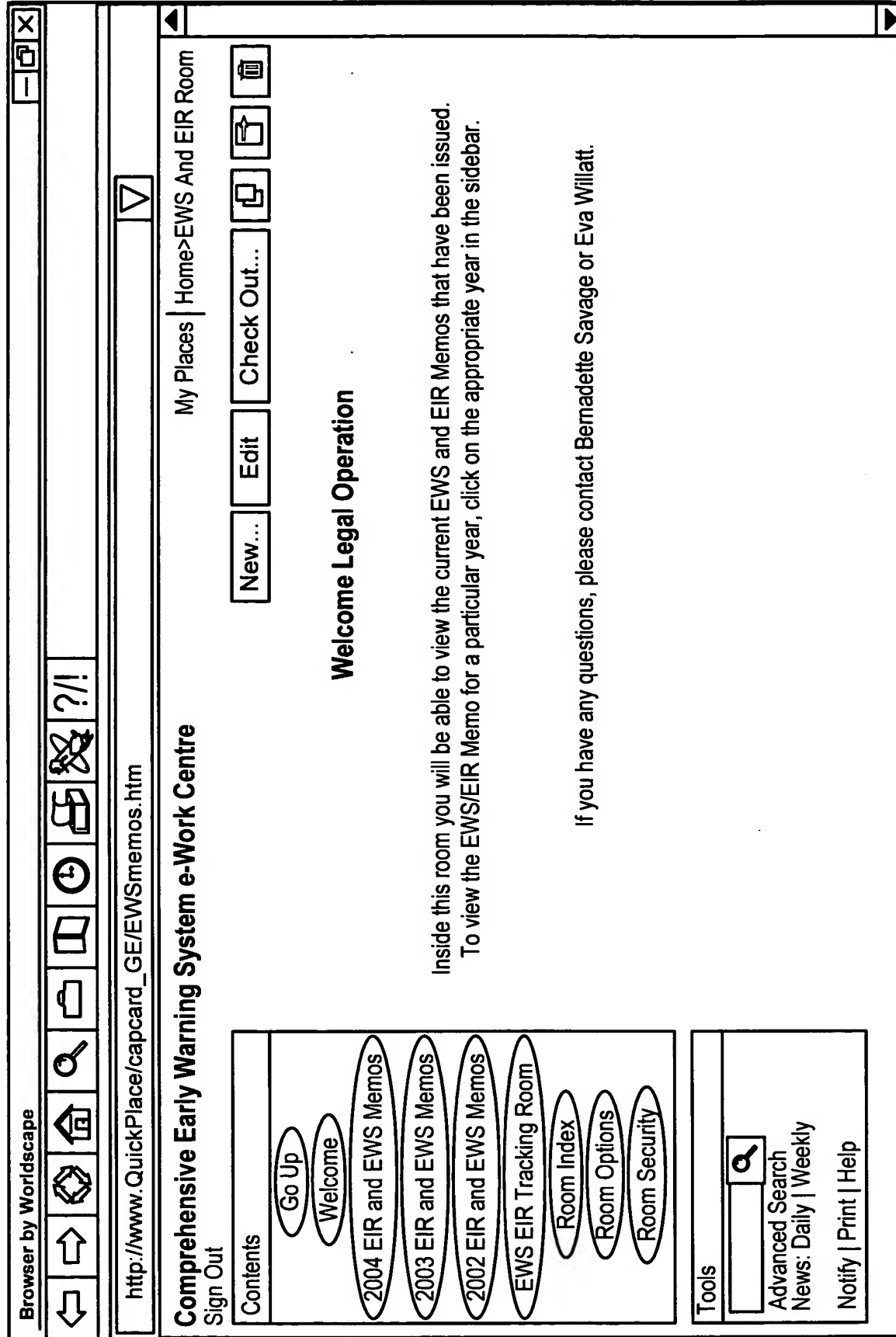


FIG. 13

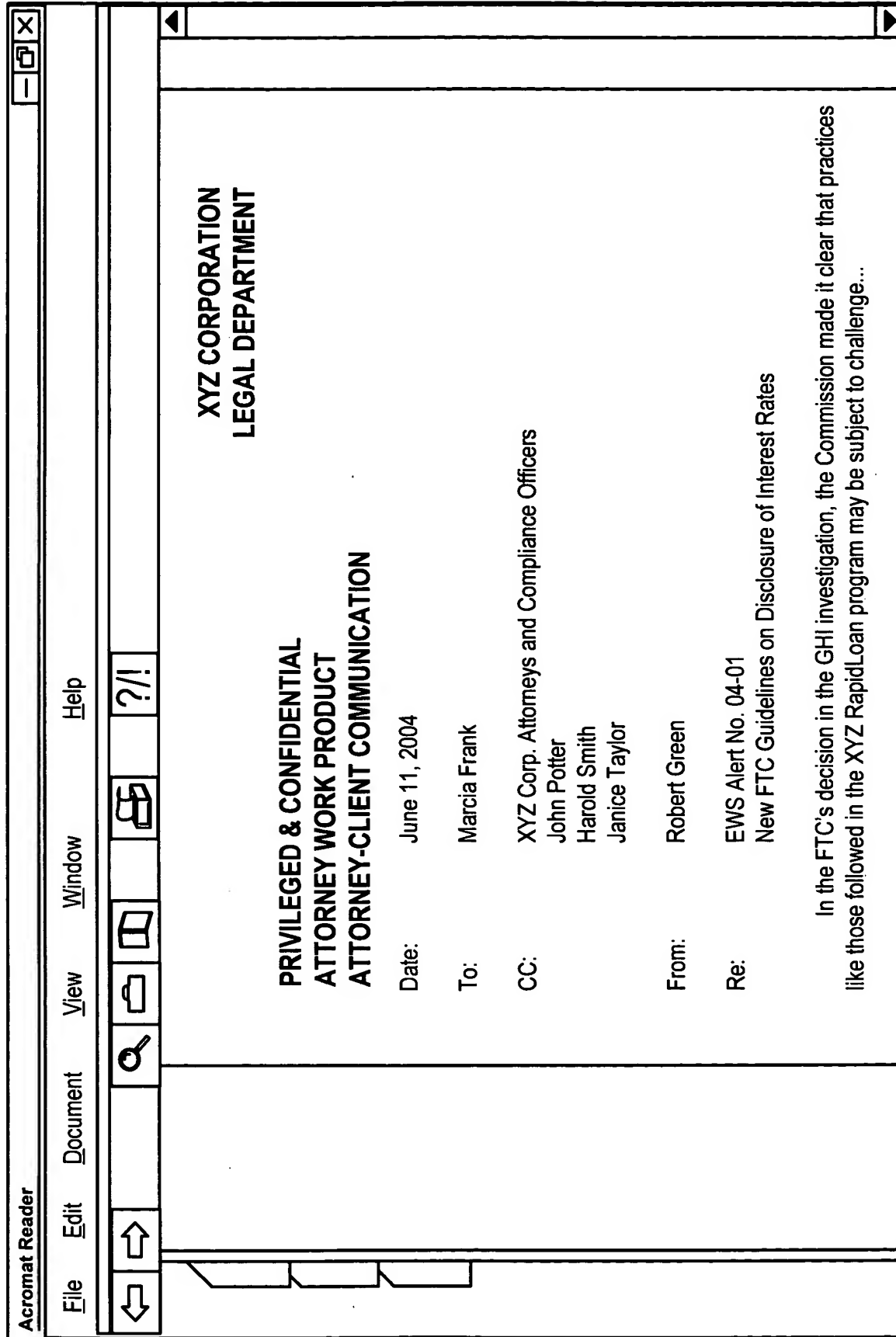


FIG. 14